

Gates & Cooper LLP

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045

**RECEIVED
CENTRAL FAX CENTER****JUL 13 2007****FAX TRANSMISSION TO USPTO**

TO: Commissioner for Patents
Attn: Examiner To be assigned
Patent Examining Corps
Facsimile Center
Alexandria, VA 22313-1450

FROM: George H. Gates
OUR REF.: G&C 30794.108-US-WO
TELEPHONE: (310) 642-4146

Total pages, including cover letter: 6

PTO FAX NUMBER: 571-273-8300

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document Transmitted:	TRANSMITTAL SHEETS, REQUEST FOR CORRECTED FILING RECEIPT WITH COPY OF FILING RECEIPT.
Applicant:	Tetsuo Fujii et al.
Serial No.:	10/581,940
Filed:	June 7, 2006
Group Art Unit:	2828
Title:	HIGHLY EFFICIENT (B,Al,Ga,In)N BASED LIGHT EMITTING DIODES VIA SURFACE ROUGHENING
Our Ref. No.:	G&C 30794.108-US-WO

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP.

By: 

Name: George H. Gates

Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.


Signature

July 13, 2007
Date

GHG/bjs

G&C 30794.108-US-WO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
CENTRAL FAX CENTER

JUL 13 2007

Applicant: Tetsuo Fujii et al. Examiner: To be assigned
Serial No.: 10/581,940 Group Art Unit: 2828
Filed: June 7, 2006 Docket: G&C 30794.108-US-WO
Title: HIGHLY EFFICIENT (B,AL,GA,IN)N BASED LIGHT EMITTING DIODES VIA SURFACE
ROUGHENING

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on July 13, 2007.

By: George H. Gates
Name: George H. Gates

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
☒ Request for Corrected Filing Receipt and Copy of Filing Receipt.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462
GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: George H. Gates
Name: George H. Gates
Reg. No.: 33,500
GHG/bjs

G&C 30794.108-US-WO

**RECEIVED
CENTRAL FAX CENTER****JUL 13 2007****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Tetsuo Fujii et al.	Examiner:	To be assigned
Serial No.:	10/581,940	Group Art Unit:	2828
Filed:	June 7, 2006	Docket:	G&C 30794.108-US-WO
Title:	HIGHLY EFFICIENT (B,AL,GA,IN)N BASED LIGHT EMITTING DIODES VIA SURFACE ROUGHENING		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on July 13, 2007.

By: [Signature]
Name: George H. Gates

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- ☒ Request for Corrected Filing Receipt and Copy of Filing Receipt.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462
GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: [Signature]
Name: George H. Gates
Reg. No.: 33,500
GHG/bjs

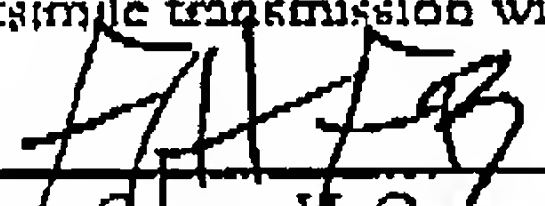
G&C 30794.108-US-WO

**RECEIVED
CENTRAL FAX CENTER****JUL 13 2007**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Tetsuo Fujii et al.	Examiner:	To be assigned
Serial No.:	10/581,940	Group Art Unit:	2828
Filed:	June 7, 2006	Docket:	G&C 30794.108-US-WO
Title:	HIGHLY EFFICIENT (B,AL,Ga,IN)N BASED LIGHT EMITTING DIODES VIA SURFACE ROUGHENING		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited by facsimile transmission with the U.S. Patent and Trademark Office on July 13, 2007.

By: 
Name: George H. Gates

REQUEST FOR CORRECTED FILING RECEIPT

Attn: Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a photocopy of the filing receipt from the U.S. Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed on June 7, 2006.

The corrections are shown in **Bold** and should read:

The filing date of the application should be: **06/07/2006**.

Title of application should read: **HIGHLY EFFICIENT (B,Al,Ga,In)N BASED LIGHT
EMITTING DIODES VIA SURFACE ROUGHENING** – the title change was made in the
“Amendment Before the International Preliminary Examining Authority” at the time the application
was filed on June 7, 2006.

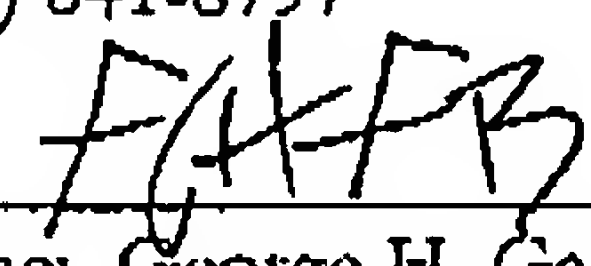
Correction of the records of the U.S. Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: July 13, 2007

By: 
Name: George H. Gates
Reg. No.: 33,500»
GHG/bjs



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/581,940	06/05/2006	2828	900	30794.108-US-WO	26	3

→ Should be
06/07/06

CONFIRMATION NO. 1440

FILING RECEIPT

22462
GATES & COOPER LLP
HOWARD HUGHES CENTER
6701 CENTER DRIVE WEST, SUITE 1050
LOS ANGELES, CA 90045

Date Mailed: 03/07/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Tetsuo Fujii, Santa Barbara, CA;
Yan Gao, Santa Barbara, CA;
Evelyn L. Hu, Santa Barbara, CA;
Shuji Nakamura, Santa Barbara, CA;

Power of Attorney: The patent practitioners associated with Customer Number 22462

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/39211 12/09/2003

Foreign Applications

If Required, Foreign Filing License Granted: 02/16/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/581,940**

Projected Publication Date: 05/31/2007

Non-Publication Request: No

Early Publication Request: No

Title

Highly efficient gallium nitride based light emitting diodes via surface roughening

RECEIVED

JUL 3 2 2007

Gates & Cooper LLP